IAP7 Rec'd PCT/PTO 1 7 AUG 2006

Approved for use through 03/31/2007. OMB 0651-0021 U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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#### ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES SHZ-028US DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (if known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/566593 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP2004/011307 31 July 2003 30 July 2004 TITLE OF INVENTION GENES THAT CONFER REGENERATION ABILITY TO PLANTS, AND USES THEREOF APPLICANT(S) FOR DO/EO/US Asuka NISHIMURA et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Х An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825. 17. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

PTO-1390 (Rev. 07-2005)

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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/566593				INTERNATIONAL APPLICATION NO. PCT/JP2004/011307			ATTORNEY'S DOCKET NUMBER SHZ-028US	
20. X Other i	tems or in							
The following fees have been submitted						CALCULATIONS	S PTO USEONLY	
21. Basic national fee (37 CFR 1.492(a))						\$		
22. Examination fee (37 CFR 1.492(c))  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)							\$	
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)							\$	
TOTAL OF 21, 22 and 23 =							\$ 0.0	0
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets				n additional 50 or fraction RATE up to a whole number)				
- 100 =	00 = /50 =		x \$250.00		\$			
Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).							\$	
CLAIMS	AIMS NUM		BER FILED	NUMBER EXTRA		RATE		
	Total claims		=		×			
Independent claims = MULTIPLE DEPENDENT CLAIM(S) (if ap				X +	360.00			
MOETIFEE DEF	LINDEINT CI	\$						
Applicant	t claims sma	Ψ						
SUBTOTAL =							\$	
Processing fee o	f <b>\$130.00</b> fo late (37 CFF	\$						
TOTAL NATIONAL FEE =							\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +							\$	
							\$	
TOTAL FEES ENCLOSED =							\$	
	······································						Amount to be refunded:	\$
						•	Amount to be charged	\$

PTO-1390 (Rev. 07-2005)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. \_\_\_\_\_12-0080 \_\_\_\_ . A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038 NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed an granted to restore the International Application to pending status. SEND ALL CORRESPONDENCE TO: Elizabeth A. Hanley LAHIVE & COCKFIELD, LLP 28 State Street Jeanne M. DiGiorgio Boston, Massachusetts 02109 NAME (617) 227-7400 CUSTOMER NUMBER: 00959 41,710 REGISTRATION NUMBER

Docket No.: SHZ-028US

(PATENT)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Asuka Nishimura *et al.* 

Application No.: 10/566593

Confirmation No.: 3574

Filing Date of

International Application: July 30, 2004

Art Unit: N/A

For: GENES THAT CONFER REGENERATION

ABILITY TO PLANTS, AND USES THEREOF

Examiner: Not Yet Assigned

# RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C. 371, mailed June 21, 2006, Applicants respectfully submit a Declaration, Petition and Power of Attorney, a copy of the Notification of Missing Requirements, an English translation of U.S.S.N. 60/491,837, and the executed Verification of Translation.

Dated: August 17, 2006

Respectfully submitted,

Registration No.: 41,710

LAHIVE & COCKFIELD, LLP

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Boston, Massachusetts 02109

(617) 227-7400

(617) 742-4214 (Fax)

Attorney/Agent For Applicant



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO. SHZ-028US

10/566,593

Asuka Nishimura

INTERNATIONAL APPLICATION NO.

PCT/JP04/11307

I.A. FILING DATE

PRIORITY DATE

07/30/2004

07/31/2003

00959 LAHIVE & COCKFIELD 28 STATE STREET BOSTON, MA 02109

Aug. 21,2000. Missing Req. Tan. 21, 2007. ESP WIS MOS EXE

**CONFIRMATION NO. 3574 371 FORMALITIES LETTER** 

OC000000019351132\*

Date Mailed: 06/21/2006

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/31/2006
- English Translation of the IA filed on 01/31/2006
- Copy of the International Search Report filed on 01/31/2006
- Preliminary Amendments filed on 01/31/2006
- Biochemical Sequence Diskette filed on 01/31/2006
- Biochemical Sequence Listing filed on 01/31/2006
- U.S. Basic National Fees filed on 01/31/2006
- Priority Documents filed on 01/31/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time. of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 159)

A copy of this notice **MUST** be returned with the response.

RETRIEVED **FORWARDED** 

#### SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/566,593	PCT/JP04/11307	SHZ-028US

FORM PCT/DO/EO/905 (371 Formalities Notice)

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